

EXHIBIT E

**IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS**

MEMORIAL HERMANN
HEALTHCARE SYSTEM,

Plaintiff,

v.

STATE STREET BANK AND TRUST
COMPANY; STATE STREET GLOBAL
ADVISORS, INC.; and CAMBRIDGE
FINANCIAL SERVICES, INC.,

Defendants.

Case No.

NOTICE OF REMOVAL

Pursuant to 28 U.S.C. §§ 1332(a), 1441, and 1446, Defendant Cambridge Financial Services, Inc. ("Cambridge") hereby removes this case, instituted by Plaintiff, Memorial Hermann Healthcare System ("Memorial Hermann"), from the District Court of Harris County, Texas, to this Court, without waiver of any defenses, procedural or substantive, and without waiver of any counterclaims. Cambridge alleges the following bases for removal:

1. Memorial Hermann commenced this action by filing a Complaint in the District Court of Harris County, Texas, on November 5, 2007 against Cambridge, State Street Bank and Trust Company ("State Street Bank"), and State Street Global Advisors, Inc. ("SSgA"). A copy of the Complaint is attached to this Notice as Exhibit A.
2. On November 5, 2007, counsel for Memorial Hermann sent the Complaint to counsel for Cambridge via electronic mail, providing Cambridge with its first notification of this action. A copy of the electronic mail is attached to this Notice as Exhibit B. Service of the Complaint on Cambridge was attempted by mail on November 19, 2007.

3. In its Complaint, Memorial Hermann alleges that it is a not-for-profit, community-owned healthcare system with its principal place of business in Texas. (*See* Ex. A ¶ 2.) Memorial Hermann is organized under the laws of the State of Texas. Therefore, for purposes of this Court's diversity jurisdiction, Memorial Hermann is a citizen of Texas.

4. In its Complaint, Memorial Hermann alleges that State Street Bank is a bank organized under the laws of Massachusetts with its principal place of business in Massachusetts. (*See* Ex. A ¶ 3.) In truth, State Street Bank is a trust company organized under the laws of Massachusetts with its principal place of business in Massachusetts. Therefore, for purposes of the Court's diversity jurisdiction, State Street Bank is a citizen of Massachusetts.

5. In its Complaint, Memorial Hermann alleges that SSgA is a Delaware corporation with its principal place of business in Massachusetts. (*See* Ex. A ¶ 4.) Therefore, for purposes of the Court's diversity jurisdiction, SSgA is a citizen of Delaware and Massachusetts.

6. Cambridge is a Connecticut corporation with its principal place of business in Connecticut. (*See* Ex. A ¶ 5.) Therefore, for purposes of the Court's diversity jurisdiction, Cambridge is a citizen of Connecticut.

7. Memorial Hermann's Complaint concerns its investment in the State Street Limited Duration Bond Fund. Memorial Hermann alleges that, in January 2003, Cambridge contracted "to provide certain financial advisory services to Memorial Hermann," and that, "in January 2005, Memorial Hermann, upon the advice of Cambridge, entered into an 'Agreement of Trust,' pursuant to which State Street Bank served as Trustee of nearly \$91,000,000 of Memorial Hermann's assets" (*See* Ex. A ¶¶ 7-8.) Memorial Hermann further alleges that State Street Bank invested these assets in the State Street Limited Duration Bond Fund, which is managed by SSgA. (*See* Ex. A ¶ 11.)

8. The alleged losses at issue in Memorial Hermann's Complaint include the loss of the value of the assets invested in the State Street Limited Duration Bond Fund. (See Ex. A ¶¶ 17, 19, 22, 24.) According to Memorial Hermann, the State Street Limited Duration Bond Fund "lost about 37% of its value during the first three weeks of August 2007" and "had fallen by 42% for the year by August 21, 2007." (See Ex. A ¶ 13.) Accordingly, the amount in controversy in this action exceeds \$75,000, exclusive of interest and costs.

9. As relief, Memorial Hermann seeks, among other things, "[d]isgorgement of all compensation received by Defendants pursuant to their relationship with Plaintiff." (See Ex. A, *Prayer for Relief*, ¶ 5.) The compensation received by Cambridge pursuant to its relationship with Memorial Hermann exceeds \$75,000. Accordingly, the amount in controversy in this action exceeds \$75,000, exclusive of interest and costs.

10. Therefore, this Court has original jurisdiction over this action, pursuant to 28 U.S.C. § 1332, because the dispute is between citizens of different states, and because the amount in controversy exceeds the sum or value of \$75,000, exclusive of interest and costs.

11. All other Defendants to this action consent to the removal of this case to this Court. (See Ex. C, Consent Form of State Street Bank and Trust Company; Ex. D, Consent Form of State Street Global Advisors, Inc.)

12. This notice is being filed within 30 days of November 5, 2007, the date on which Cambridge first learned of this action, and within 30 days of November 19, 2007, the date on which Memorial Hermann attempted to serve Cambridge with the Complaint.

13. Memorial Hermann demanded a jury in the state court action.

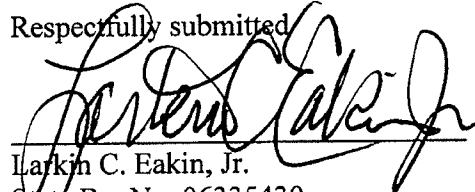
14. The Complaint is attached to this Notice as Exhibit A. All other papers filed in state court are attached to this Notice as Exhibit E.

15. In accordance with 28 U.S.C. § 1446(d), Cambridge has given contemporaneous written notice of this Notice of Removal to all parties and to the Clerk of the District Court of Harris County, Texas. (*See* Ex. F. Notice of Filing of Notice of Removal.)

WHEREFORE, Cambridge respectfully requests that this Court assume jurisdiction of this action and enter such other and further orders as may be necessary to accomplish the requested removal and promote the ends of justice.

Dated: December 3, 2007

Respectfully submitted,


Larkin C. Eakin, Jr.
State Bar No. 06335430

COZEN O'CONNOR
One Houston Center
1221 McKinney Street
Houston, Texas 77010
Tel. No. 832.214.3900
Fax. No. 832.214.3905

Of Counsel:
George M. Gowen III
Stuart A. Weiss
COZEN O'CONNOR
1900 Market Street
Philadelphia, PA 19103
215.665.2000 (tel.)
215.665.2013 (fax)

*Attorneys for Defendant
Cambridge Financial Services, Inc.*

CERTIFICATE OF SERVICE

I certify that a copy of the foregoing Notice of Removal has been served via first-class United States mail, postage prepaid, this 3rd day of December, 2007, upon the following:

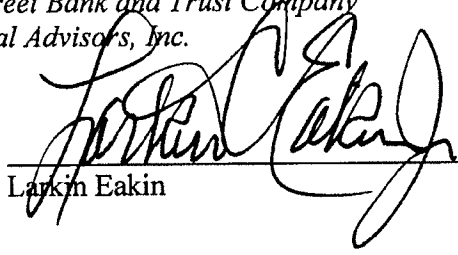
Charles R. Parker, Esquire
Locke Lord Bissell & Lidell LLP
3400 J.P. Morgan Chase Tower
600 Travis
Houston, TX 77002-3095

Russell S. Post
Geoff A. Gannaway
Beck, Redden & Secrest, LLP
1221 McKinney Street, Suite 4500
Houston, TX 77010-2010

*Attorneys for Plaintiff Memorial Hermann
Healthcare System*

Robert A. Skinner, Esquire
Ropes & Gray LLP
One International Place
Boston, MA 02110

*Attorneys for State Street Bank and Trust Company
and State Street Global Advisors, Inc.*



Larkin Eakin

Exhibit A

LOCKE LORD BISSELL & LIDDELL LLP

ATTORNEYS & COUNSELORS

3400 JP Morgan Chase Tower
600 Travis
Houston, TX 77002-3095

ATLANTA • ALSTIN • CHICAGO • DALLAS • HOUSTON • LONDON
LOS ANGELES • NEW ORLEANS • NEW YORK • SACRAMENTO
WASHINGTON, D.C.

Phone: (713) 226-1200
Fax: (713) 223-3717
www.lockelord.com

November 5, 2007

2007-67934

Re: Cause No. _____; *Memorial Herman Healthcare System v. State Street Bank and Trust Company, et al.*; In the 201 Judicial District Court of Harris County, Texas

Theresa Chang
Harris County District Clerk
201 Caroline Street
Houston, Texas 77002

By Hand Delivery

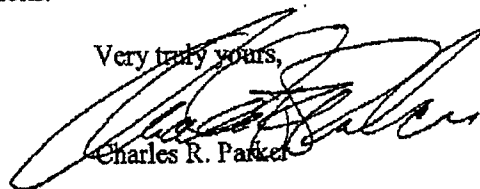
Dear Ms. Chang:

In connection with the above-referenced matter, please find the following:

- Original and five (5) copies of Plaintiff's Original Petition;
- Check in the amount of \$263.00 (\$197.00 filing fee, \$36.00 for three (3) citations, and \$30.00 jury fee).

Once the citations have been prepared please call me at 713-226-1469. Please file-stamp the extra copy and return it to the messenger before you. Thank you for your courtesies and please feel free to call if you have any questions.

Very truly yours,



Charles R. Parker

Enclosures

cc: Russell S. Post

1087.00002/350087.1

HOUSTON: 0017275.00023: 1215074v1

Theresa Chang
November 5, 2007
Page 2

Geoff A. Gamaway
Beck, Redden & Secrest, LLP
1221 McKinney St., Suite 4500
Houston, Texas 77010-2010
(w/encls.)

1087.00002/350087.1

HOUSTON: 0017275.00023: 1215074v1

2007-67934

CAUSE NO. _____

MEMORIAL HERMANN
HEALTHCARE SYSTEM

Plaintiff,

v.

STATE STREET BANK AND TRUST
COMPANY; STATE STREET GLOBAL
ADVISORS, INC.; and CAMBRIDGE
FINANCIAL SERVICES, INC.

Defendants.

IN THE DISTRICT COURT OF

HARRIS COUNTY, TEXAS

221 JUDICIAL DISTRICT

PLAINTIFF'S ORIGINAL PETITION

TO THE HONORABLE JUDGE OF SAID COURT:

Plaintiff MEMORIAL HERMANN HEALTHCARE SYSTEM files this Original Petition against STATE STREET BANK AND TRUST COMPANY, STATE STREET GLOBAL ADVISORS, INC., and CAMBRIDGE FINANCIAL SERVICES, INC., and, in support thereof, would respectfully show the Court the following:

DISCOVERY LEVEL 2

1. Discovery is intended to be conducted under Level 2 of TEX. R. CIV. P. 190.

PARTIES

2. Plaintiff MEMORIAL HERMANN HEALTHCARE SYSTEM ("Memorial Hermann") is a not-for-profit, community-owned healthcare system with its principal place of business in Houston, Harris County, Texas.

3. Defendant STATE STREET BANK AND TRUST COMPANY ("State Street Bank"), which does business under the name "State Street Bank," is a wholly owned subsidiary of State Street Corporation, a publicly registered financial holding company. State Street Bank is

a bank organized under the laws of the Commonwealth of Massachusetts, with its principal place of business in Boston, Massachusetts. State Street Bank provides investment servicing and investment management services; as of June 30, 2007, it had \$1.9 trillion of assets under management. State Street Bank has registered as a "foreign corporate fiduciary" in Texas, and Memorial Hermann hereby serves the Secretary of State of the State of Texas pursuant to the TEX. PROBATE CODE § 105A(b), and asks that the Texas Secretary of State serve Defendant STATE STREET BANK AND TRUST COMPANY through its designated agent for service of process, Eric P. Hayes, Chief Fiduciary Officer and Senior Trust Counsel, c/o State Street Bank and Trust Company, P.O. Box 351, MA03, Boston, MA 02110.

4. Defendant STATE STREET GLOBAL ADVISORS, INC. ("SSgA") (this Petition will refer to State Street Bank and SSgA collectively as "State Street"), a wholly-owned subsidiary of State Street Corporation, is a Delaware corporation with its principal place of business in Boston, Massachusetts. SSgA has described itself both as "a division" of State Street Bank and as the "investment arm" of State Street Corporation. SSgA is a foreign corporation that is engaged in business in the State of Texas, but does not maintain a regular place of business in the State of Texas and does not maintain a designated agent for service of process in the State of Texas. This proceeding arises out of SSgA's business in this state, and Memorial Hermann hereby serves the Secretary of State of the State of Texas pursuant to the Texas Long Arm Statute, TEX. CIV. PRAC. & REM. CODE § 17.044, and asks that the Texas Secretary of State serve Defendant STATE STREET GLOBAL ADVISORS, INC. through its president or any vice-president at its home office, located at State Street Financial Center, One Lincoln Street, Boston, Massachusetts, 02111-2900, via certified mail, return receipt requested.

5. Defendant CAMBRIDGE FINANCIAL SERVICES, INC. ("Cambridge") is a Connecticut corporation, with its principal place of business in Greenwich, Connecticut. Cambridge provides investment advisory services. Cambridge is a foreign corporation that is engaged in business in the State of Texas, but does not maintain a regular place of business in the State of Texas and does not maintain a designated agent for service of process in the State of Texas. This proceeding arises out of Cambridge's business in this state, and Memorial Hermann hereby serves the Secretary of State of the State of Texas pursuant to the Texas Long Arm Statute, TEX. CIV. PRAC. & REM. CODE § 17.044, and asks that the Texas Secretary of State serve Defendant CAMBRIDGE FINANCIAL SERVICES, INC. through its president or any vice-president at its home office, located at 83 Mason Street, Greenwich, Connecticut 06830, via certified mail, return receipt requested.

JURISDICTION AND VENUE

6. The amount in controversy in this lawsuit is within the jurisdictional limits of this Court. Venue is appropriate in Harris County pursuant to TEX. CIV. PRAC. & REM. CODE § 15.002(a)(1), or, in the alternative, TEX. CIV. PRAC. & REM. CODE § 15.002(a)(4).

FACTUAL BACKGROUND

7. In January of 2003, Cambridge contracted to act as Memorial Hermann's agent and to provide certain financial advisory services to Memorial Hermann. Among other things, Cambridge was to monitor Memorial Hermann's investments, as well as to assist with the selection and replacement of fund managers that handled Memorial Hermann's investments. Immediately prior to the time of contracting, Ernest A. Liébré, the Managing Director of Cambridge, orally represented to Memorial Hermann that he and Cambridge would serve as "co-

fiduciaries" of Memorial Hermann's investments. These investments are in different accounts covering endowments, pension funds, and operating funds.

8. In January of 2005, Memorial Hermann, upon the advice of Cambridge, entered into an "Agreement of Trust," pursuant to which State Street Bank served as Trustee of nearly \$91,000,000 of Memorial Hermann's assets, which were in the accounts for the System Operating Funds and The Health Professionals Insurance Company, Ltd. (HePIC). The initial number of shares purchased was 7,067,910.523 for its System Operating Funds. Subsequently, Memorial Hermann acquired additional shares for the System Operating Funds as follows:

<u>DATE</u>	<u>ADDITIONAL SHARES</u>
March 2005	125,799.015
June 2005	112,548.590
September 2005	125,961.399
December 2005	115,841.651
March 2006	115,018.665
May 2006	444,123.115
June 2006	125,551.592
September 2006	130,213.603
December 2006	132,435.367
March 2007	123,804.999
June 2007	126,178.916
September 2007	631,065.443

9. The acquisition of shares for the HePIC account occurred as follows (amounts in parentheses represent the sale of shares):

<u>DATE</u>	<u>ADDITIONAL SHARES</u>
September 2005	1,473,052.653
December 2005	22,581.552
March 2006	88,842.567
May 2006	63,436.305
June 2006	25,338.785
July 2006	(722.780)
August 2006	(67,637.340)
September 2006	24,715.732
November 2006	163,019.807
December 2006	27,532.554

March 2007	25,315.853
June 2007	26,800.176
August 2007	(27,960.126)
September 2007	132,679.502

10. The Agreement of Trust recited a conservative investment objective: "to match or exceed the return of the J.P. Morgan one month U.S. Dollar Libor Index." State Street represented to both Memorial Hermann and Cambridge in its capacity as Memorial Hermann's agent that State Street would make low-risk investments that would preserve Memorial Hermann's capital. These representations were repeated before and after Memorial Hermann invested with State Street, and continued until the investment dropped precipitously.

11. State Street Bank invested the money it held in trust for Memorial Hermann in State Street Limited Duration Bond Fund, which is managed by SSgA.

12. The State Street Limited Duration Bond Fund was created in 2002 as a way to generate better results than those of money-market funds with only slightly more risk. The fund was widely considered an "enhanced cash" product, an investment category usually considered very low risk. It was sold only to institutional clients, not individual investors.

13. The State Street Limited Duration Bond Fund lost about 37 percent of its value during the first three weeks of August 2007. The fund had fallen 42 percent for the year by August 21, 2007.

14. These precipitous losses in a short-term income fund required to preserve capital were the result of unjustified investments in mortgage-related securities without diversification and using derivatives, all contrary to the stated Investment Objectives and representations to Memorial Hermann. The State Street fund managers intentionally and recklessly invested the funds in a high-risk portfolio consisting of long term mortgages which were combined in instruments called Special Investment Vehicles (SIV). These actions by the State Street fund

managers were contrary to the stated "Investment Objectives" requiring preservation of "capital by investing in a diversified portfolio of highly rated fixed income securities." These investments in high-risk, long-term mortgage instruments were made by the State Street fund managers even though they knew that the stated objective of this fund called "The Limited Duration Bond Fund" was for short-term, fixed-income investments to be measured by "the returns of the JP Morgan one-month US Dollar LIBOR Index over trailing one-year periods."

FIRST CAUSE OF ACTION: BREACH OF CONTRACT AGAINST STATE STREET

15. Plaintiff incorporates paragraphs 1-14 of this Petition as if fully set forth herein.

16. On or about January 14, 2005, State Street contracted with Memorial Hermann to serve as Trustee of Memorial Hermann funds, and to invest with the objective "to match or exceed the return of the J.P. Morgan one month U.S. Dollar Libor Index." Execution and delivery of the contract by State Street and Memorial Hermann formed a binding contract.

17. By making unjustified investments in high-risk, long-term mortgage instruments without diversification and by using derivatives, State Street breached the terms of the Agreement of Trust.

18. Memorial Hermann fully performed its contractual obligations, and all conditions precedent to Memorial Hermann's claim for relief have been performed or have occurred.

19. Memorial Hermann has been substantially injured by State Street's breaches of contract. This injury was a natural, probable, and foreseeable consequence of State Street's breaches.

SECOND CAUSE OF ACTION: BREACH OF CONTRACT AGAINST CAMBRIDGE

20. Plaintiff incorporates paragraphs 1-19 of this Petition as if fully set forth herein.

21. On or about January 29, 2003, Cambridge contracted with Memorial Hermann to provide financial advisory services. Execution and delivery of the Financial Advisory Services Proposal by Cambridge and Memorial Hermann formed a binding contract.

22. By recommending State Street to manage Memorial Hermann's assets, and by failing to discover and to inform Memorial Hermann that State Street was making unjustified investments in high-risk, long-term mortgage instruments without diversification and using derivatives, Cambridge breached the terms of the Financial Advisory Services Proposal.

23. Memorial Hermann fully performed its contractual obligations, and all conditions precedent to Memorial Hermann's claim for relief have been performed or have occurred.

24. Memorial Hermann has been substantially injured by Cambridge's breaches of contract. This injury was a natural, probable, and foreseeable consequence of Cambridge's breaches.

25. Pursuant to TEX. CIV. PRAC. & REM. CODE § 38.001 *et seq.*, Memorial Hermann is entitled to attorneys' fees.

THIRD CAUSE OF ACTION:
FRAUD AND FRAUDULENT INDUCEMENT AGAINST STATE STREET

26. Plaintiff incorporates paragraphs 1-25 of this Petition as if fully set forth herein.

27. In order to induce Memorial Hermann to contract with it, State Street made material misstatements and misrepresentations to Memorial Hermann and to Cambridge in its capacity as Memorial Hermann's agent. Among other things, State Street represented that the Investment Objective of the Limited Duration Bond Fund would be "to maximize income while preserving capital by investing in a diversified portfolio of highly rated fixed income securities," when in fact the funds were invested in high-risk, long-term mortgage instruments without diversification, which resulted in substantial losses.

28. State Street made these statements despite the fact that it knew that the statements were false or made the statements recklessly without any knowledge of the truth as to the assertions.

29. Memorial Hermann would not have contracted with State Street but for these representations.

30. Memorial Hermann justifiably relied on State Street's false representations.

31. As a direct and proximate result of the misrepresentations, Memorial Hermann has suffered damages, both general and specific.

FOURTH CAUSE OF ACTION:
NEGLIGENT MISREPRESENTATION AGAINST STATE STREET

32. Plaintiff incorporates paragraphs 1-31 of this Petition as if fully set forth herein.

33. State Street made material misstatements and misrepresentations to Memorial Hermann and to Cambridge in its capacity as Memorial Hermann's agent, and therefore supplied false information as guidance for Memorial Hermann's investment strategy. Among other things, State Street represented that the funds to be managed under the Agreement of Trust would be invested in low-risk, capital-preserving investments, when in fact they were invested high-risk, long-term mortgage instruments without diversification.

34. State Street made these statements without exercising reasonable care or competence in obtaining, verifying, or communicating the information.

35. Memorial Hermann would not have contracted with State Street but for these representations.

36. Memorial Hermann justifiably relied on State Street's false representations.

37. As a direct and proximate result of the misrepresentations, Memorial Hermann has suffered damages, both general and specific.

47. As a direct and proximate result of the breaches of trust, Memorial Hermann has suffered damages, both general and specific.

SEVENTH CAUSE OF ACTION:
BREACH OF FIDUCIARY DUTY AGAINST CAMBRIDGE

48. Plaintiff incorporates paragraphs 1-47 of this Petition as if fully set forth herein.

49. Cambridge, pursuant to oral representations to Memorial Hermann and pursuant to the agency relationship formed by the Financial Advisory Services Proposal, owed a fiduciary duty to Memorial Hermann.

50. Cambridge, in failing to discover and/or report to Memorial Hermann that State Street was handling Memorial Hermann's investments in a manner inconsistent with the Investment Objective and other representations made to Memorial Hermann, breached the duties owed to Memorial Hermann as its fiduciary, including but not limited to the duty of complete and undivided loyalty and the duty to disclose the nature and risk of the investments.

51. As a direct and proximate result of the breaches of fiduciary duty, Memorial Hermann has suffered damages, both general and specific.

EIGHTH CAUSE OF ACTION: NEGLIGENCE AGAINST CAMBRIDGE

52. Plaintiff incorporates paragraphs 1-51 of this Petition as if fully set forth herein.

53. As stated in oral representations and the Financial Advisory Services Proposal, Cambridge owed a duty of reasonable care to Memorial Hermann.

54. Cambridge, in failing to discover and/or report to Memorial Hermann that State Street was handling Memorial Hermann's investments in a manner inconsistent with the Investment Objective and other representations made to Memorial Hermann, breached the duty of reasonable care owed to Memorial Hermann. Such breaches constituted negligence.

55. As a direct and proximate result of the negligent acts of Cambridge, Memorial Hermann has suffered damages, both general and specific.

DEMAND FOR JURY

56. Plaintiff demands a jury trial and tenders the appropriate fee with this petition.

REQUESTS FOR DISCLOSURE

57. Pursuant to Texas Rule of Civil Procedure 194, Plaintiff requests that State Street disclose, within 50 days of the service of this request, the information or material described in Rule 194.2(a-i).

58. Pursuant to Texas Rule of Civil Procedure 194, Plaintiff requests that Cambridge disclose, within 50 days of the service of this request, the information or material described in Rule 194.2(a-i).

PRAYER FOR RELIEF

WHEREFORE, Plaintiff MEMORIAL HERMANN HEALTHCARE SYSTEM prays for the following relief:

1. Rescission of the Agreement of Trust with State Street Bank and Trust Company;
2. Rescission of Financial Advisory Services Proposal with Cambridge Financial Services, Inc.;
3. Cancellation of the Agreement of Trust with State Street Bank and Trust Company;
4. Cancellation of Financial Advisory Services Proposal with Cambridge Financial Services, Inc.;
5. Disgorgement of all compensation received by Defendants pursuant to their relationship with Plaintiff;

6. A constructive trust on all compensation received by Defendants pursuant to their relationship with Plaintiff;
7. All actual damages awarded by the trier of fact;
8. Pre- and post-judgment interest at the maximum rate allowable by law;
9. All costs of court;
10. Such other costs, as well as reasonable and necessary attorneys' fees, as are equitable and just;
11. All other and further relief to which Plaintiff may be entitled, in law or in equity.

Respectfully submitted,

By: 

Charles R. Parker

State Bar No. 15479500

LOCKE LORD BISSELL & LIDDELL LLP

600 Travis, Suite 3400

Houston, Texas 77002-3095

(713) 226-1469

(713) 229-2632 (Fax)

BECK, REDDEN & SECREST, LLP

Russell S. Post

State Bar No. 00797258

Geoff A. Gannaway

State Bar No. 24036617

1221 McKinney St., Suite 4500

Houston, Texas 77010-2010

(713) 951-3700

(713) 951-3720 (Fax)

**ATTORNEYS FOR PLAINTIFF
MEMORIAL HERMANN
HEALTHCARE SYSTEM**

Chase Bank Acct # 06300027177
VENDOR #: 2180 CHECK #: 130458

LOCKE LORD BISSELL & LIDDELL, LIMITED LIABILITY PARTNERSHIP
DATE: 11-05-07 PAYEE: DISTRICT CLERK, HARRIS COUNTY.

VOUCHER #	INVOICE #	INV. DATE	AMOUNT	DESCRIPTION	AMT. PAID
656080	110507	11-05-07	263.00		263.00
			2007-67934		
TOTAL					263.00

2007 NOV -5 PM 4:14

BY DEPUTY

CHECK NO. 130458

Chase Bank of Texas, N.A.
San Angelo, Texas
88-98/1113

DATE: 11-05-07

LOCKE LORD BISSELL & LIDDELL LLP
A LIMITED LIABILITY PARTNERSHIP
600 TRAVIS STREET, SUITE 9400
HOUSTON, TEXAS 77002

TWO HUNDRED SIXTY-THREE AND 00/100 DOLLARS

AMOUNT OF CHECK

\$263.00*****

LOCKE LORD BISSELL & LIDDELL LLP

PAY TO THE ORDER OF

DISTRICT CLERK, HARRIS COUNTY.
PO BOX 4651
HOUSTON, TX 77210

Rob Ch...

Second Signature Required on Checks Over \$500.00

⑆130458⑆ ⑆111300880⑆ ⑆0630002717⑆

Exhibit B

Weiss, Stuart A.

From: Parker, Charles [mailto:CParker@lockelord.com]
Sent: Monday, November 05, 2007 4:22 PM
To: Gowen, George M.
Cc: Geoff Gannaway; Duco, Bernard
Subject: FW: Memorial Hermann Original Petition

George, attached is Plaintiff's Original Petition which will be filed today. Please let me know if you can accept service for your client. Charlie

Charles R. Parker
Locke Lord Bissell & Liddell LLP
600 Travis, Suite 3400
Houston, Texas 77002-3095
(713) 226-1469
Fax: (713) 229-2632
cparker@lockelord.com

IRS Circular 230 Disclosure: United States Treasury Regulations provide that a taxpayer may rely only on formal written advice meeting specific requirements to avoid federal tax penalties. Any tax advice in the text of this message, or in any attachment, does not meet those requirements and, accordingly, is not intended or written to be used, and cannot be used, by any recipient to avoid any penalties that may be imposed upon such recipient by the Internal Revenue Service.

IMPORTANT/CONFIDENTIAL: This message from the law firm of Locke Lord Bissell & Liddell LLP is privileged, confidential and exempt from disclosure under applicable law. If you are not the intended recipient (or authorized to act on behalf of the intended recipient) of this message, you may not disclose, forward, distribute, copy, or use this message or its contents. If you have received this communication in error, please notify us immediately by return e-mail and delete the original message from your e-mail system. Thank you.

From: Schneider, Sylvia
Sent: Monday, November 05, 2007 3:13 PM
To: Parker, Charles
Subject: Memorial Hermann Original Petition

12/3/2007

Exhibit C

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS

MEMORIAL HERMANN
HEALTHCARE SYSTEM,

Plaintiff,

v.

Case No.

STATE STREET BANK AND TRUST
COMPANY; STATE STREET GLOBAL
ADVISORS, INC.; and CAMBRIDGE
FINANCIAL SERVICES, INC.,

Defendants.

**STATE STREET BANK AND TRUST
COMPANY'S NOTICE OF CONSENT TO REMOVAL**

Defendant State Street Bank and Trust Company submits this consent to removal under 28 U.S.C. § 1446(b).

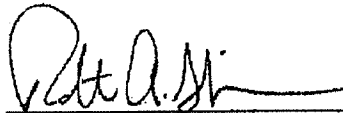
1. Memorial Hermann commenced this action by filing a Complaint in the District Court of Harris County, Texas, on November 5, 2007 against State Street Bank and Trust Company, State Street Global Advisors, Inc, and Cambridge Financial Services, Inc.
2. State Street Bank and Trust Company first learned of this action on November 14, 2007.
3. Service of the Complaint upon State Street Bank and Trust Company was attempted by mail during the week of November 26, 2007.

4. State Street Bank and Trust Company understands that Cambridge Financial Services, Inc. is filing a Notice of Removal with the United States District Court for the Southern District of Texas.

5. State Street Bank and Trust Company hereby gives its consent and joins the removal of this civil action from the District Court of Harris County, Texas to the United States District Court for the Southern District of Texas.

Dated: December 3, 2007

Respectfully submitted



Robert A. Skinner
ROPES & GRAY LLP
One International Place
Boston, MA 02110
Tel: 617-951-7560
Fax: 617-951-7050

*Attorneys for State Street Bank & Trust Company
(Pro hac vice application to be submitted)*

Exhibit D

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS

MEMORIAL HERMANN
HEALTHCARE SYSTEM,

Plaintiff,

v.

Case No.

STATE STREET BANK AND TRUST
COMPANY; STATE STREET GLOBAL
ADVISORS, INC.; and CAMBRIDGE
FINANCIAL SERVICES, INC.,

Defendants.

**STATE STREET GLOBAL ADVISORS,
INC.'S NOTICE OF CONSENT TO REMOVAL**

Defendant State Street Global Advisors, Inc., submits this consent to removal under 28 U.S.C. § 1446(b).

1. Memorial Hermann commenced this action by filing a Complaint in the District Court of Harris County, Texas, on November 5, 2007 against State Street Bank and Trust Company, State Street Global Advisors, Inc., and Cambridge Financial Services, Inc.

2. State Street Global Advisors, Inc. first learned of this action on November 14, 2007.

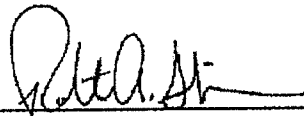
3. Service of the Complaint upon State Street Global Advisors, Inc. was attempted by mail during the week of November 26, 2007.

4. State Street Global Advisors, Inc. understands that Cambridge Financial Services, Inc. is filing a Notice of Removal with the United States District Court for the Southern District of Texas.

5. State Street Global Advisors, Inc. hereby gives its consent and joins the removal of this civil action from the District Court of Harris County, Texas to the United States District Court for the Southern District of Texas.

Dated: December 3, 2007

Respectfully submitted



Robert A. Skinner
ROPES & GRAY LLP
One International Place
Boston, MA 02110
Tel: 617-951-7560
Fax: 617-951-7050

*Attorneys for State Street Global Advisors, Inc.
(Pro hac vice application to be submitted)*

Exhibit E

CIVIL PROCESS REQUEST

FOR EACH PARTY SERVED YOU MUST FURNISH ONE (1) COPY OF THE PLEADING
FOR WRITS FURNISH TWO (2) COPIES OF THE PLEADING PER PARTY TO BE SERVED

CASE NUMBER: **2007-67934** CURRENT COURT: **247**

TYPE OF INSTRUMENT TO BE SERVED (See Reverse For Types):
Plaintiff's Original Petition

FILE DATE OF MOTION: **11/05/07**
Month/Day/Year

SERVICE TO BE ISSUED ON (Please List Exactly As The Name Appears In The Pleading To Be Served):

I. NAME: State Street Bank and Trust Company
ADDRESS: P.O. Box 351, MA03, Boston, MA 02110
AGENT, (if applicable): Eric P. Hayes

TYPE OF SERVICE/PROCESS TO BE ISSUED (see reverse for specific type):

SERVICE BY (check one):

- ☒ ATTORNEY PICK-UP ☐ CONSTABLE
☐ CIVIL PROCESS SERVER - Authorized Person to Pick-up:
Phone:
☐ MAIL ☐ CERTIFIED MAIL
☐ PUBLICATION:
Type of Publication: ☐ COURTHOUSE DOOR, or
☐ NEWSPAPER OF YOUR CHOICE:

☐ OTHER, explain: Please return in the enclosed envelope

2. NAME: State Street Global Advisors, Inc.
ADDRESS: State Street Financial Center, One Lincoln Street, Boston, MA 02111-2900
AGENT, (if applicable):

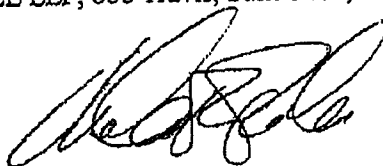
TYPE OF SERVICE/PROCESS TO BE ISSUED (see reverse for specific type):

SERVICE BY (check one):

- ☒ ATTORNEY PICK-UP ☐ CONSTABLE
☐ CIVIL PROCESS SERVER - Authorized Person to Pick-up:
Phone:
☐ MAIL ☐ CERTIFIED MAIL
☐ PUBLICATION:
Type of Publication: ☐ COURTHOUSE DOOR, or
☐ NEWSPAPER OF YOUR CHOICE:
☐ OTHER, explain: Please return in the enclosed envelope

ATTORNEY (OR ATTORNEY'S AGENT) REQUESTING SERVICE:

NAME: Charles Parker TEXAS BAR NO/ID NO. 15479500
MAILING ADDRESS: LOCKE LORD BISSELL & LIDDELL LLP, 600 Travis, Suite 3400, Houston,
Texas 77002
PHONE: 713-226-1469
FAX NUMBER: 713- 229-2632



CIVIL PROCESS REQUEST

FOR EACH PARTY SERVED YOU MUST FURNISH ONE (1) COPY OF THE PLEADING
FOR WRITS FURNISH TWO (2) COPIES OF THE PLEADING PER PARTY TO BE SERVED

CASE NUMBER: **2007-67934** CURRENT COURT: **269**

TYPE OF INSTRUMENT TO BE SERVED (See Reverse For Types):
Plaintiff's Original Petition

FILE DATE OF MOTION: _____
Month/Day/Year

SERVICE TO BE ISSUED ON (Please List Exactly As The Name Appears In The Pleading To Be Served):

1. NAME: Cambridge Financial Services, Inc.
ADDRESS: 83 Mason Street, Greenwich, Connecticut 06830
AGENT, (if applicable):

TYPE OF SERVICE/PROCESS TO BE ISSUED (see reverse for specific type):

SERVICE BY (check one):

- ☒ ATTORNEY PICK-UP ☐ CONSTABLE
☐ CIVIL PROCESS SERVER - Authorized Person to Pick-up:
Phone: _____
☐ MAIL ☐ CERTIFIED MAIL
☐ PUBLICATION:
Type of Publication: ☐ COURTHOUSE DOOR, or
☐ NEWSPAPER OF YOUR CHOICE:

☐ OTHER, explain: Please return in the enclosed envelope

2. NAME:
ADDRESS:
AGENT, (if applicable):

TYPE OF SERVICE/PROCESS TO BE ISSUED (see reverse for specific type):

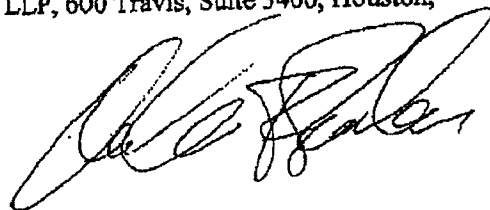
SERVICE BY (check one):

- ☒ ATTORNEY PICK-UP ☐ CONSTABLE
☐ CIVIL PROCESS SERVER - Authorized Person to Pick-up:
Phone: _____
☐ MAIL ☐ CERTIFIED MAIL
☐ PUBLICATION:
Type of Publication: ☐ COURTHOUSE DOOR, or
☐ NEWSPAPER OF YOUR CHOICE:

☐ OTHER, explain: Please return in the enclosed envelope

ATTORNEY (OR ATTORNEY'S AGENT) REQUESTING SERVICE:

NAME: Charles Parker TEXAS BAR NO/ID NO. 15479500
MAILING ADDRESS: LOCKE LORD BISSELL & LIDDELL LLP, 600 Travis, Suite 3400, Houston,
Texas 77002
PHONE: 713-226-1469
FAX NUMBER: 713-229-2632



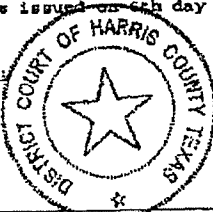
CAUSE NO. 200767934

RECEIPT NO. 346720
11-05-20070.00
TR # 72241011PLAINTIFF: MEMORIAL HERMANN HEALTHCARE SYSTEM
vs.
DEFENDANT: STATE STREET BANK AND TRUST COMPANYIn The 269th
Judicial District Court
of Harris County, Texas
269TH DISTRICT COURT
Houston, TX

CITATION (SECRETARY OF STATE CORPORATE NON-RESIDENT)

THE STATE OF TEXAS
County of HarrisTO: CAMBRIDGE FINANCIAL SERVICES INC (CONNECTICUT CORPORATION) BY SERVING
THROUGH THE TEXAS SECRETARY OF STATE P O BOX 12079 AUSTIN TEXAS 78711
FORWARD TO: ITS PRESIDENT OR VICE-PRESIDENT AT ITS HOME OFFICE
83 MASON STREET GREENWICH CT 06830Attached is a copy of PLAINTIFF'S ORIGINAL PETITIONThis instrument was filed on the 5th day of November, 2007, in the above cited cause number
in court. The instrument attached describes the claim against you.YOU HAVE BEEN SUBD. You may employ an attorney. If you or your attorney do not file a
written answer with the District Clerk who issued this citation by 10:00 a.m. on the Monday
next following the expiration of 20 days after you were served this citation and petition,
default judgment may be taken against you.

OFFICER SERVING:

This citation was issued on 5th day of November, 2007, under my hand and
seal of said Court.Sued at request of:
RAKER, CHARLES R.
C TRAVIS STE 3400
DUSTON, TX 77002
T: (713) 226-1258
F No.: 15479500Wanda Rene Chambers, District Clerk
Harris County, Texas
201 Caroline Houston, Texas 77002
(P.O. Box 4651, Houston, Texas 77210)

GENERATED BY: CHAMBERS, WANDA RENE ULM/6CN/8000284

OFFICER/AUTHORIZED PERSON RETURN

Received on the ____ day of _____, at ____ o'clock ____ M., and
executed the same in _____ County, Texas, on the ____ day of _____, at
____ o'clock ____ M., by summoning the _____
by delivering to _____, _____
RECEIVED
SECRETARY OF STATEcorporation c
by leaving in the principal office during office hours
____ of the said _____
true copy of this notice, together with accompanying copy of
11:00 AM
CITATIONS UNIT
142765 - 3
rving _____ copy _____ \$ _____

Affiant

By _____
Deputythis day, _____, known to me to be the person whose
signature appears on the foregoing return, personally appeared. After being by me duly sworn,
/she stated that this citation was executed by him/her in the exact manner recited on the
return.

FORN TO AND SUBSCRIBED BEFORE ME, on this ____ day of _____.

Notary Public

HJNT,SEC007

72241011

Exhibit F

JS 44 (Rev. 11/04)

CIVIL COVER SHEET

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THIS FORM.)

I. (a) PLAINTIFFS Memorial Hermann HealthCare System	DEFENDANTS State Street Bank and Trust Company; State Street Global Advisors, Inc., and Cambridge Financial Services, Inc.
(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF <u>Harris, TX</u> (EXCEPT IN U.S. PLAINTIFF CASES)	COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT <u>Suffolk, MA</u> (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED
(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER) Charles Parker, Locke Lord Bissell & Liddell LLP, 600 Travis, Suite 3400, Houston, TX 77002-3095 - 713-226-1469; Russell S. Post, Beck Redden & Secrest LLP, 1221 McKinney Street, Suite 4500, Houston, TX 77010 - 713-951-3700	ATTORNEYS (If Known) Robert A. Skinner, Ropes & Gray LLP, One International Place, Boston, MA 02110 - 617- 951-7650 (State Street entities); Larkin Eakin, Cozen O'Connor, One Houston Center, 1221 McKinney Street, Houston TX 77010 - 832-214-3900 (Cambridge)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)	III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)																
<input type="checkbox"/> 1 U.S. Government Plaintiff <input type="checkbox"/> 2 U.S. Government Defendant <input type="checkbox"/> 3 Federal Question (U.S. Government Not a Party) <input checked="" type="checkbox"/> 4 DIVERSITY (Indicate Citizenship of Parties in Item III)	<table style="width: 100%;"> <tr> <th style="text-align: left;">PTF</th> <th style="text-align: left;">DEF</th> <th style="text-align: left;">PTF</th> <th style="text-align: left;">DEF</th> </tr> <tr> <td>Citizen of This State</td> <td><input checked="" type="checkbox"/> 1</td> <td>Incorporated or Principal Place of Business in This State</td> <td><input checked="" type="checkbox"/> 4</td> </tr> <tr> <td>Citizen of Another State</td> <td><input type="checkbox"/> 2</td> <td>Incorporated and Principal Place of Business in Another State</td> <td><input checked="" type="checkbox"/> 5</td> </tr> <tr> <td>Citizen or Subject of a Foreign Country</td> <td><input type="checkbox"/> 3</td> <td>Foreign Nation</td> <td><input type="checkbox"/> 6</td> </tr> </table>	PTF	DEF	PTF	DEF	Citizen of This State	<input checked="" type="checkbox"/> 1	Incorporated or Principal Place of Business in This State	<input checked="" type="checkbox"/> 4	Citizen of Another State	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input checked="" type="checkbox"/> 5	Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6
PTF	DEF	PTF	DEF														
Citizen of This State	<input checked="" type="checkbox"/> 1	Incorporated or Principal Place of Business in This State	<input checked="" type="checkbox"/> 4														
Citizen of Another State	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input checked="" type="checkbox"/> 5														
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6														

IV. NATURE OF SUIT (Place an "X" in One Box Only)					
CONTRACT <input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excl. Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input checked="" type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	TORTS PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury PERSONAL INJURY <input type="checkbox"/> 362 Personal Injury--Med Malpractice <input type="checkbox"/> 365 Personal Injury--Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	FORFEITURE/PENALTY <input type="checkbox"/> 610 Agriculture <input type="checkbox"/> 620 Other Food & Drug <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 630 Liquor Laws <input type="checkbox"/> 640 R.R. & Truck <input type="checkbox"/> 650 Airline Regs <input type="checkbox"/> 660 Occupational Safety/Health <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt. Relations <input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	BANKRUPTCY <input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS - Third Party 26 USC 7609	OTHER STATUTES <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 810 Selective Service <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 875 Customer Challenge 12 USC 3410 <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 892 Economic Stabilization Act <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 894 Energy Allocation Act <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice <input type="checkbox"/> 950 Constitutionality of State Statutes	REAL PROPERTY <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property
	CIVIL RIGHTS <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 444 Welfare <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 440 Other Civil Rights	PRISONER PETITIONS <input type="checkbox"/> 510 Motions to Vacate Sentence Habeas Corpus: <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition			

V. ORIGIN (Place an "X" in One Box Only)							
<input type="checkbox"/> 1	<input checked="" type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5	<input type="checkbox"/> 6	<input type="checkbox"/> 7	
Original Proceeding	Removed from State Court	Remanded from Appellate Court	Reinstated or Reopened	Transferred from another district (specify)	Multidistrict Litigation	Appeal to District Judge from Magistrate Judgment	

VI. CAUSE OF ACTION	(Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): Brief description of cause: alleged breach of contract, fraudulent inducement, negligent misrepresentation, breach of fiduciary duty, and negligence
VII. REQUESTED IN COMPLAINT:	<input type="checkbox"/> CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23 DEMAND \$ in excess of \$75,000.00; rescission Check YES only if demanded in complaint: JURY DEMAND: <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

VIII. RELATED CASE(S) IF ANY	(See instructions): JUDGE <u>NONE</u>	DOCKET NUMBER <u>NONE</u>
-------------------------------------	---------------------------------------	---------------------------

DATE December 3, 2007	SIGNATURE OF ATTORNEY OF RECORD Attorney for Cambridge Financial Services, Inc.
--------------------------	--

FOR OFFICE USE ONLY			
RECEIPT#	AMOUNT	APPLYING IFP	JUDGE MAG. JUDGE

JS 44 Reverse (Rev. 11/04)

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44**Authority For Civil Cover Sheet**

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

I. (a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.

(b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)

(c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.

IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.

V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.**

Example: U.S. Civil Statute: 47 USC 553
Brief Description: Unauthorized reception of cable service

VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

VIII. Related Cases. This section of the JS 44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.